

Last time:

Prop: $(K, |\cdot|)$ non-arch. valued, $\mathcal{O}_K \subseteq K$

$$\{x \in K \mid |x| \leq 1\}$$

A subring

1) \mathcal{O}_K is integrally closed, local ring
with maximal ideal $\mathfrak{m}_K = \{x \in K \mid |x| < 1\}$,
& each fin. gen. ideal $\mathfrak{I} \subseteq \mathcal{O}_K$ is
principal and of the form

$$\{x \in K \mid |x| \leq v\}, \quad v := \max(|y| \mid y \in \mathfrak{I})$$

2) The subspace top. on \mathcal{O}_K
(w.r.t. the metric top. on K)

is (x) -adic for each $x \in \mathfrak{m}_K \setminus \{0\}$,

$\mathcal{O}_K \subseteq K$ is open & closed,

$$K = \mathcal{O}_K \left[\frac{1}{x} \right] \quad \forall x \in \mathfrak{m}_K \setminus \{0\}$$

i.e. x top. nilpotent

$$\& \hat{K} = \hat{\mathcal{O}}_K \left[\frac{1}{x} \right], \text{ where}$$

$\widehat{\mathcal{O}}_K$ (x)-adic completion
of \mathcal{O}_K , x top. nilp, $x \neq 0$

& $\widehat{\mathcal{O}}_K$ is the closure of \mathcal{O}_K in \widehat{K}
For 2) we are left to prove

La: $(K, | \cdot |)$ non-arch. valued,
 $x \in m_K \setminus \{0\}$

$$\Rightarrow \mathcal{O}_K / x \cdot \mathcal{O}_K \rightarrow \widehat{\mathcal{O}}_K / x \cdot \widehat{\mathcal{O}}_K$$

Prf of La: let $\varphi: \mathcal{O}_K / x \cdot \mathcal{O}_K \rightarrow \widehat{\mathcal{O}}_K / x \cdot \widehat{\mathcal{O}}_K$
be the canonical morph.

1) φ surj.

Pick $\eta \in \widehat{\mathcal{O}}_K$

Know: $K \subseteq \widehat{K}$
is dense

$\Rightarrow \exists z \in K$, s.t.

$z - \eta \in x \cdot \widehat{\mathcal{O}}_K$ (as $x \cdot \widehat{\mathcal{O}}_K$ is
open in \widehat{K})

$$\Rightarrow z \in y + x \cdot \mathcal{O}_K \subseteq \mathcal{O}_K$$

$$\Rightarrow z \in K \cap \mathcal{O}_K = \mathcal{O}_K$$

\parallel \parallel \parallel \parallel \parallel

$$\{t \in K \mid |t|_K = 1\}$$

\parallel \parallel \parallel \parallel \parallel

$$\{ |t|_K = 1 \}$$

on K

$$\Rightarrow \varphi(z + x \cdot \mathcal{O}_K) = y + x \cdot \mathcal{O}_K$$

$\Rightarrow \varphi$ surj.

2) Let $y \in \mathcal{O}_K$, s.t. $\varphi(y) = 0$

$$\Rightarrow |y|_K \leq |x|_K \Rightarrow y \in x \cdot \mathcal{O}_K$$

\parallel \parallel \parallel \parallel \parallel

$$|y|_K \quad |x|_K \quad \{t \in K \mid |t| \leq |x|\}$$

0 of the la

\hookrightarrow Top. on K :

$V \subseteq K$ is open iff $\forall x \in V$ ex. some $r > 0$, s.t.

$$\{y \in K \mid |x - y| < r\} \subseteq V$$

$\Leftrightarrow V \subseteq K$ is open iff $\forall x \in V$ ex. some $z \in \mathcal{O}_K \setminus \{0\}$, s.t.

$$x + z \cdot \mathcal{O}_K \subseteq V$$

$$\left\{ y \in K \mid |y-x| \leq |z| \right\} \neq \emptyset$$

Remains to prove:

3) If $| \cdot |$ discrete, then m_K principal

\Rightarrow
of prop

$$\left\{ I \subseteq \mathcal{O}_K \text{ non-zero} \right\} \xrightarrow{1:1} \mathbb{N}_{>0}$$

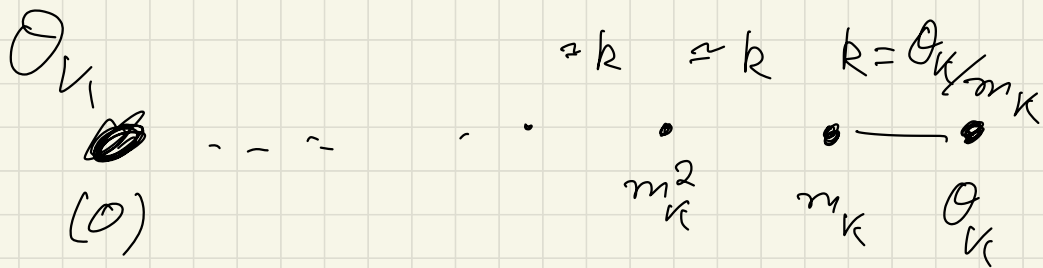
$$m_K^n \longleftarrow n$$

$$\text{and } \text{Spec } \mathcal{O}_K = \{(0), m_K\}$$

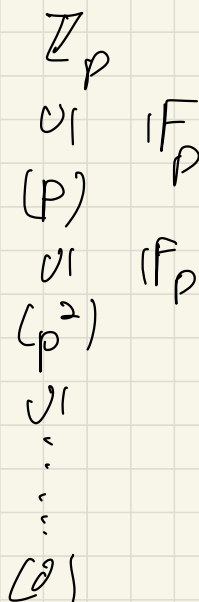
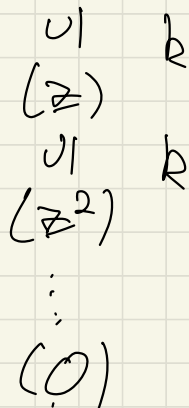
In particular, \mathcal{O}_K is a local PID,

$$\& \left\{ \text{non-zero fract. ideals} \right\} \xrightarrow{1:1} \mathbb{Z}$$

$$m_K^n \longleftarrow n$$



E.g.: $\mathcal{O}_K = k[[z]] \subseteq k = k((z))$



For 3):

Suff. to prove:

$|k^\times|$ discrete in $\mathbb{R}_{>0}$

implies that \mathcal{O}_K noetherian

But from 1)

$$\mathcal{O} \neq I \subseteq \mathcal{O}_K$$

$$\Rightarrow I = \bigcup_{\eta \in I} \{x \in \mathcal{O}_K \mid |x| \leq |\eta|\}$$

$$= \{x \in \mathcal{O}_K \mid |x| \leq r\} \text{ with}$$

$$\begin{array}{l} \uparrow \\ |K^\times| \text{ discrete} \\ \text{in } \mathbb{R}_{>0} \end{array} \quad \begin{array}{l} r = \max\{|\eta| \mid \eta \in I\} \\ \uparrow \\ \text{(attained)} \end{array}$$

$\Rightarrow I$ principal

\square

\triangleleft Discrete valuation rings are important. Let R be any ring.

TFAE:

1) $R = \mathcal{O}_K$ for $(K, |\cdot|)$ a discr. non-arch. valued field

2) R local Dedekind domain

3) R local, int. closed, noetherian
with max. ideal principal

Def: $(K, |\cdot|)$ non-arch valued

1) $k = \mathcal{O}_K / \mathfrak{m}_K$ residue field of
 $(K, |\cdot|)$ (or \mathcal{O}_K, K)

2) $x \in \mathfrak{m}_K \setminus \{0\}$ is called a
pseudo-uniformizer.

If $|\cdot|$ discrete & $v(x) = \mathfrak{m}_K$

$\Rightarrow x$ is called a uniformizer

Equip (if $|\cdot|$ discrete):

$v(x) = 1$, where $v(x) = -\log|\cdot|$

$v: K \rightarrow \mathbb{Z} \cup \{\infty\}$ is the

normalized add. valuation of K

Equip: $|x| = \max \{ |y| \mid y \in \mathfrak{m}_K \}$

Prop: K field, $|\cdot|_1, |\cdot|_2$ two non-arch. norms

TFAE:

1) $|\cdot|_1 \sim |\cdot|_2$, i.e. $|\cdot|_1 = |\cdot|_2^c, c > 0$

2) The valuation rings $\text{Res } |\cdot|_1, |\cdot|_2$ agree, i.e.

$$\{x \in K \mid |x|_1 \leq 1\} = \{x \in K \mid |x|_2 \leq 1\}$$

3) The metric topologies of $|\cdot|_1$ & $|\cdot|_2$ agree

Prof: 1) \Rightarrow 2): \checkmark

2) \Rightarrow 1): Set \mathcal{O}_v as the valuation ring for $|\cdot|_1$ (& $|\cdot|_2$)

Pick $b \in K, |b|_1 > 1$

(if b doesn't exist $\Rightarrow \mathcal{O}_K = K$ & $|\cdot|_1, |\cdot|_2$ are trivial)

$$\exists c > 0, \text{ s.t. } |b|_2^c = |b|_1$$

(as $|b|_2 > 1$, bec. $b \notin \mathcal{O}_K$)

$$\Rightarrow \forall \log |b|_2 = |b|_1$$

Have to see $|x|_2 = |x|_1 \quad \forall x \in K$

Let $x \in K \setminus \{0\}$

$$\Rightarrow \exists \varrho \in \mathbb{R}, \text{ s.t. } |x|_1 = |b|_1^\varrho$$

$$\text{Pick } \frac{r}{s} \in \mathbb{Q}, r, s \in \mathbb{Z}, \varrho \leq \frac{r}{s}$$

$$\Rightarrow |x|_1 \leq |b|_1^{\frac{r}{s}}$$

$$\Leftrightarrow |x^s \cdot b^{-r}|_1 \leq 1 \Leftrightarrow x^s \cdot b^{-r} \in \mathcal{O}_K$$

$$\Rightarrow |x^s \cdot b^{-r}|_2 \leq 1 \Leftrightarrow |x|_2 \leq |b|_2^{\frac{r}{s}}$$

Similarly, $|x|_2 \geq |b|_2^{\frac{r}{s}} \quad \forall \frac{r}{s} \leq \varrho$

$$\stackrel{\frac{r}{s} \rightarrow \varrho}{=} |x|_1 = |x|_2$$

2) \Rightarrow 3) \checkmark as subspace top. on

\mathcal{O}_K is (x) -adic $\forall x \in m_K \setminus \{0\}$

3) \Rightarrow 2) Note:

$$m_K = \{x \in K \mid x^n \rightarrow 0, n \rightarrow \infty\}$$

only depends on metric top. on K

$$\Rightarrow \mathcal{O}_K = \{x \in K \mid x \cdot y \in m_K \forall y \in m_K\}$$

depends only on the top. on K

" \subseteq " \checkmark

" \supseteq " Assume $x \notin \mathcal{O}_K$, $x \in \text{RHS}$

$$\Rightarrow |x| > 1$$

$$\Rightarrow y = x^{-1} \in m_K \text{ \& } x \cdot y = 1 \notin m_K$$



$\mathbb{R} \times \mathbb{R}$ lexicographic order

i.e. $(x, y) \geq (z, w)$

$\text{Spec } \mathcal{O}_K$

$$\Leftrightarrow x > z$$

$$\text{or } x = z \ \& \ y \geq w$$

•
|
•
|
•

Ex: K any number field,
 $\mathfrak{p} \subseteq \mathcal{O}_K$ max.

$\Rightarrow \mathcal{O}_{K, \mathfrak{p}}$ completion of \mathcal{O}_K w.r.t.
 \mathfrak{p} -adic top.

$K_{\mathfrak{p}} = \text{Frac}(\mathcal{O}_{K, \mathfrak{p}})$ finite ext.
of $\mathbb{Q}_{\mathfrak{p}}$, where $\mathbb{Z}_{\mathfrak{p}} \in \mathfrak{p}$

$K_{\mathfrak{p}}$ is a non-arch. valued field

$$U_K = \mathcal{O}_K^\times = \{x \in K \mid |x| = 1\}$$

Let $\pi \in \mathcal{O}_K$ uniformizer, i.e. $(\pi) = \mathfrak{m}_K$

$$U_K^n := \left\{ x \in U_K \mid x \equiv 1 \pmod{\underbrace{(\pi)^n}_{\mathfrak{m}_K^n}} \right\}$$

group of 1-units

Note: $U_K^0 = U_K$

$U_K^0 / U_K^1 \cong k^\times$

$$\mathcal{O}_K = \varprojlim_{\leftarrow} \mathcal{O}_K / (\pi)^n$$

$k = \mathcal{O}_K / \mathfrak{m}_K$
residue field

Let $n \geq 1$

Claim: $\varphi: U_K^n / U_K^{n+1} \cong k$ depends on π

$$x \mapsto \frac{x-1}{\pi^n} \pmod{\mathfrak{m}_K}$$

Proof: φ well-defd, if $x = 1 + \underbrace{y \cdot \pi^n}_\pi$
for some $y \in \mathcal{O}_K$

$$\begin{aligned} \Rightarrow \varphi(\bar{x}) &\equiv \eta \pmod{m_{v_i}} \\ \Rightarrow \varphi &\text{ inj. + surj.} \end{aligned} \left. \begin{array}{l} \text{invertible} \\ \text{in } \mathcal{O}_{v_i} \text{ for} \\ \text{all } \eta \in \mathcal{O}_{v_i} \\ \text{by } \mathbb{Z}\text{-adic} \\ \text{completeness} \\ \text{of } \mathcal{O}_{v_i} \end{array} \right\}$$

We'll need slight strengthening
of Hensel's lemma

(actually, equivalent Tag 04GG, SP)

K complete, non-arch valued

$f \in K[x]$ is called primitive if

$f \in \mathcal{O}_{v_i}[x]$ and $f \not\equiv 0 \pmod{m_{v_i}}$

Prop (Hensel's lemma): $f \in K[x]$ primitive,

$\bar{f} = g_0 \cdot h_0$ with $g_0, h_0 \in k[x]$

$(g_0, h_0) = 1$ in $k[x]$

Then $f = g \cdot h$ with $g, h \in \mathcal{O}_{\alpha}[X]$,
 $\deg g = \deg g_0$, $\deg h = \deg h_0$

$$\& \bar{g} \equiv g_0, \bar{h} \equiv h_0$$

Moreover, g, h are uniquely det.

by this up to mult. by an elt
in $\mathcal{O}_{\alpha}^{\times}$

Prf: Again approx., Details in
Tian, Prop. 8.4.1. \square

$$\text{If } g_0 = X - \alpha_0, \alpha_0 \in k,$$

$(g_0, h_0) = 1$ is equiv. to

$$h_0(\alpha_0) \neq 0, \text{ i.e. } f'(\alpha_0) \neq 0$$

\Rightarrow $f = (X - \alpha) \cdot h$ with $\alpha \equiv \alpha_0 \pmod{\mathfrak{m}_{\alpha}}$
 \uparrow
in this case

\Rightarrow This form of Hensel's lemma implies our previous

Corollary: $f(x) = \sum_{i=0}^n a_i x^i \in K[x]$

inverted. of deg n

$\Rightarrow \|f\| := \max_{i=0, \dots, n} (|a_i|) = \max(|a_0|, |a_n|)$

Prf: wlog $\|f\| = 1$ (by multiplying with some $\alpha \in K^\times$)

Pick j minimal, s.t. $|a_j| = \|f\| = 1$

$\Rightarrow \bar{f}(x) \equiv a_j x^j + \dots + a_n x^n$
 $= x^j \cdot (a_j + \dots + a_n x^{n-j})$

$\curvearrowright \curvearrowright$

relatively prime if $0 < j < n$

as $a_j \not\equiv 0 \pmod{m_1}$

\Rightarrow Hensel's $f = x^j \cdot h$, $\deg h = n-j$

ca \Rightarrow contradiction to
irreducibility of f if $0 < j < n$
 \square